

Summary of IATI Budget for Year 6 - September 2018-December 2019 *

Estimated Income

Anticipated membership contributions**	\$2,488,100
Estimated rollover of unspent funds from year 5***	\$591, 099
Total	\$3,079,199

Budget for Y6

Workstream	Activity costs	Staff Costs	Sub-total
Data Use	450, 981	172,120	623,101
Data Quality	96,667	379,569	476,236
Maintaining the Standard	305,553	331,788	637,341
Outreach and communications	212,854	267,036	479, 890
Governance	210,540	352,802	563,342
Totals:	1,276,595	1,503,315	2,779,910
Total Personnel In Kind Contribution:	-	308,124	308,124
Management Fee:			117,885
TOTAL with in-kind contribution:			3,205,919
GRAND TOTAL (net budget):			2,897,795

* The Board proposes to align the IATI financial year which currently runs from 03 September to 02 September with the calendar year going forwards from Year 6. There are sufficient funds in the IATI Trust Fund for the additional four months to be funded by rolling over unspent funds from Year 5, so this proposal is made at no extra cost to members. In Year 6, members will effectively receive 16 months work and activities in return for their annual membership fee. In the detailed spreadsheet attached, budget for Q1 (2018) and Q2-5 (2019) is shown separately, but has been aggregated here for ease of reference.

** Membership contribution income is based on the assumption that all members who paid their contributions in full in Year 5 will do again in Year 6 and that membership contribution charges will remain at the same level for each constituency. While IATI usually receives a small number of voluntary additional contributions each year, these are unpredictable and usually ear-marked for a specific purpose. For that reason, they are not included here in the income column.

*** The main reasons for this underspend are: UNDP funding the coordinator's post as a contribution in kind; staff turnover at DI; postponement of the date of the Y4 TAG meeting to October 2018 (Y5 implementation period); decision to outsource development of datastore, meaning that most expenditure will now take place in Y6; and \$250k placeholder funds for data use in the Y4 and Y5 budgets being used to finance the Data Use Fund in Y5 and Y6.

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IATI Budget Financial Year 6 Sep 2018 - Dec 2019

Strategic Element	Output	Main activities	Personnel cost only	Activities rolled over from Y5	Budget per activity Sep-Dec 2018 (Y6 Q1)	Budget per activity Jan - Dec 2019 (Y6 Q2-Q5)	Total budget 16 months Sep 2018 - Dec 2019	
1 - Promoting Data Use	1A - New datastore; 1B – Data use strategy; 1C – D-portal; 1D - Promotion of IATI at country level				316,680	134,510	316,471	450,981
	Target data users are able to meet their IATI data needs through support, capacity building and efficient tools	1A - New data store built to meet data use needs						
		Manage the outsourced development and maintenance of the datastore			66,680	33,989	34,558	68,547
		1B - Data use strategy implementation ongoing						
		Provide policy and Secretariat support to the Data Use Task Force guiding the implementation of the Data Use Strategy		X	-	-	-	-
		Implement Data Use Fund for community activities in line with the strategy			250,000	-	-	-
		Finance and support including administration of the Data Use Fund through grants and contracts			-	-	30,000	30,000
		1C - Website and D-portal enhanced to further support data use						
		Maintain and develop d-portal platform in response to user needs			-	12,521	37,563	50,084
		Implement single user interface and simplify user experience as part of Phase 2 website development		X	-	-	-	-
		Generate new website content on data use		X	-	-	-	-
		1D - Promotional activities undertaken at country level						
		Increase awareness and ability to access and use IATI data among targeted partner country government and CSO representatives			-	50,000	100,000	150,000
		Outreach and data use consultant and activities			-	38,000	114,350	152,350
Secretariat personnel costs total for Output 1			-	40,176	131,944	172,120		
Secretariat travel costs total for Output 1			-	-	-	-		
2 - Improving IATI data quality, breadth and depth	2A - Support new publishers; 2B - Quality for existing publishers; 2C - External technical support; 2D -				-	30,055	66,612	96,667
	Sustainable support services enable new and existing publishers to supply high quality IATI data that meets users' needs	2A - Assistance provided to new priority publishers						
		Provide high quality support to new priority publishers			-	1,007	3,005	4,012
		2B - Improved data quality by existing publishers						
		Provide high quality support to existing publishers to improve the quality of their data			-	500	2,003	2,503
		2C - Strengthened external capacity providing technical support for publishers						
		Support NGO platforms and consultants providing technical support to publishers externally.		X	-	-	-	-
		2D - Self-service guidance and validation tools available for publishers						
		Develop and implement validation tools based on IATI Registry			-	6,010	24,041	30,051
		Improve self-service support for publishers with new technical guidance as next phase of website development			-	22,538	37,563	60,101
Secretariat personnel costs total for Output 2			-	89,202	290,367	379,569		
Secretariat travel costs total for Output 2			-	1,507	5,008	6,515		
3 - Maintaining	3A - Technical Infrastructure; 3B - Management of the IATI Standard; 3C TAG				197,679	31,042	274,511	305,553
	IATI's technical infrastructure is maintained and	3A - Key systems, tools and infrastructure are stable and continuously improved						
		Maintain, troubleshoot and where necessary further develop IATI's technical systems, tools and infrastructure;			-	19,533	58,599	78,132
The IATI Standard continues	3B The Standard is aligned with IATI's overall strategic direction							
Maintain and update the IATI Standard with scheduled upgrades, undertaking consultation with the IATI community and membership regularly and rigorously		X	-	-	-	-		

and strengthening IATI Standard	to evolve to meet the needs of data users and publishers and reflects the changing open data environment	Continue to align IATI with (DAC codes and) other standards through regular technical consultation with relevant standards bodies within the open data community		-	5,509	16,528	22,037	
		3C Annual TAG meetings						
		Annual TAG meetings ensure timely decision-making on matters regarding development and management of the Standard		181,176	-	182,364	182,364	
		Internal travel and admin related to the TAG		16,503	6,000	17,020	23,020	
		Secretariat personnel costs total for Output 3			59,172	272,616	331,788	
		Secretariat travel costs total for Output 3		16,503	6,000	17,020	23,020	
4 - Communication & Outreach	IATI's new vision, strategy and brand is implemented, enabling it to achieve its political objectives and engage target audiences with clear information	4A - Outreach; 4B - Engagement; 4C - Communications; 4D - Branding						
		4A Outreach						
		Maintain and publicise information on relevant events among members and ensure they have up to date materials for use in promoting IATI strategic priorities		-	5,000	15,000	20,000	
		Contingency for Board travel to key external events representing IATI.		-	5,008	3,005	8,013	
				-	5,000	15,000	20,000	
		4B Engagement						
		Update outreach strategy to include targeted engagement plans for different stakeholder groups at political levels as well as to promote data quality and use.		-	-	15,000	15,000	
		Dedicated events to mark 10 years of IATI, to include GA September	X	-	-	-	-	
				35,200	-	-	-	
		4C Communications						
		Stakeholders at all levels receive targeted relevant and up to date information on IATI, including through regular bulletins, news stories, and the annual report		-	3,656	10,969	14,625	
		Translation (includes Members Bulletin, Members Assembly meeting documents, updated SOP translation, contingency)		-	-	7,000	7,000	
		4D Website						
		Update IATI's website to reflect the new branding of the initiative and ensure information can be found quickly and easily by all visitors to the site.		-	22,538	37,563	60,101	
			X	-	-	-	-	
		Translate website, (including copy on website and stakeholder comms).		-	15,025	25,043	40,068	
		4E Social media Strategy						
		Develop and implement a new social media strategy based on the new website.	X	-	-	-	-	
			X	-	-	-	-	
		Design and create a new IATI training video to market the initiative among new users		-	7,012	21,035	28,047	
Secretariat personnel costs total for Output 4			64,555	202,481	267,036			
Secretariat travel costs total for Output 4			5,008	18,005	23,013			
5A - Support to IATI Governing Bodies								
5. Institutional Arrangements	IATI governing bodies are supported to make timely decisions and act efficiently in driving the initiative forward.	5A Support to Chair and Governing Board						
		Travel of Secretariat for strategic and operational planning meetings (internal)		-	7,784	15,000	22,784	
		Travel of Board for strategic planning meetings (internal)		-	-	20,000	20,000	
		5B Annual Members' Assembly meetings						
		The MA meets regularly to review and take decisions on recommendations by the Board.		-	-	110,980	110,980	
		Internal travel and admin related to the MA		-	-	15,259	15,259	
		5C Trust Fund Management and Operational Support						
		Implement a fundraising strategy including through targeted requests for voluntary funding	X	-	-	-	-	
		Collect membership fees in a timely manner from all members; Ongoing financial management and ad-hoc operational support.	X	-	-	-	-	
		5D Organise and attend annual IATI Secretariat face-to-face planning sessions						
		IATI Secretariat and Technical Team are managed efficiently to support governance mechanism		-	16,506	25,011	41,517	
		Secretariat personnel costs total for Output 5		-	106,694	246,107	352,802	
		Secretariat travel costs total for Output 5		-	24,290	55,270	79,560	
		6 IATI Transition of	All transition activities will be added separately after the July 2018 MA decision		-	-	-	

IATI's future is on a secure financial, governance and institutional footing			-	-	-	-
			-	-	-	-
			-	-	-	-
	PERSONNEL COST		-	359,799	1,143,515	1,503,315
						-
		TOTAL ACTIVITY BUDGET	549,559	283,136	993,459	1,276,595
		TOTAL PERSONNEL COSTS	-	359,799	1,143,515	1,503,315
		MANAGEMENT FEE UNDP and UNOPS	41,540	24,012	93,873	117,885
		TOTAL PERSONNEL IN KIND CONTRIBUTION	-	80,000	228,124	308,124
		TOTAL with in-kind contribution	591,099	746,947	2,458,972	3,205,919
		GRAND TOTAL (net budget)	591,099	666,947	2,230,848	2,897,795

IATI Workplan Year 6 Sep 2018-Aug 2019 Timeframe (16 months)											
Strategic Element	Output	Indicator, Baseline, Target [for review]	Main activities	Priority 1: Essential 2: High 3: Medium	Activity owner	First Quarter Y6 Sep-Dec 2018	Y6 Q2: Jan- Mar 2019	Y6 Q3: Apr- Jun 2019	Y6 Q4: Jul- Sep 2019	Y6 Q5: Oct- Dec 2019	
1 - Promoting Data Use	Target data users are able to meet their IATI data needs through support, capacity building and efficient tools	1A - New datastore; 1B – Data use strategy; 1C – D-portal; 1D - Promotion of IATI at country level									
		Indicator: # of reports of datastore outage Baseline: CURRENT # Target: 0 reports of outage	1A - New data store built to meet data use needs								
			Manage the outsourced development and maintenance of the datastore	1	DI	X	X	X	X	X	
			1B - Data use strategy implementation								
		Indicator: Internal mid-term review of Data Use Strategy against set objectives Baseline: New data use strategy agreed; Fund available Target: Data Use Strategy objectives 80% achieved	Provide policy and Secretariat support to the Data Use Task Force guiding the implementation of the Data Use Strategy	2	DI + UNDP	X	X	X	X	X	
			Implement Data Use Fund for community activities in line with the strategy	2	UNDP	X	X	X	X		
			Finance and support including administration of the Data Use Fund through grants and contracts	2	UNDP	X	X	X	X		
		Indicator: Satisfaction rate of website visits through survey Baseline: Not available (new website) Target: 80% of survey respondents satisfied	1C - Website and D-portal enhanced to further support data use								
			Maintain and develop d-portal platform in response to user needs	3	DI	X	X	X	X	X	
			Implement single user interface and simplify user experience as part of Phase 2 website development	2	DI	X	X				
			Generate new website content	2	DI, UNDP	X	X				
		Indicator: Feedback surveys by event participants Baseline: 70% of participants in country-level data use activities provide positive feedback Target: 80% of attendees provide positive feedback	1D - Promotional activities undertaken at country level								
Increase awareness and ability to access and use IATI data among targeted partner country government and CSO representatives	3		UNDP	X	X	X	X	X			
Outreach and data use consultant	3		UNDP	X	X	X	X	X			
2	Sustainable support services enable new and existing publishers to	Indicator: # of new priority members publishing data Baseline: 2 new publishers in Y 5 Target: 2 new priority members publishing data	2A - Assistance provided to new priority publishers								
			Provide high quality support to new priority publishers on-site	2	DI	X	X	X	X	X	
		Indicator: % satisfaction rating received on data quality support requests Baseline: Current satisfaction rating 100% Target: 98% satisfaction rating received on data quality support requests.	2B - Improved data quality by existing publishers								
			Provide high quality support to existing publishers to improve the quality of their data	1	DI	X	X	X	X	X	
2C - Strengthened external capacity providing technical support for publishers											

and depth	publishers to supply high quality IATI data that meets users' needs	Indicator: Independent third-party support providers providing IATI services. Baseline: Third-party support providers delivering service on an ad hoc basis. Target: 2-3 train-the-trainer sessions delivered	Support NGO platforms and consultants providing technical support to publishers externally.	2	DI	X	X	X	X	X	
		Indicator: Existence of robust validation tools and updated guidance Baseline: No robust validation tools available; guidance outdated Target: Robust validation tools available and guidance updated	2D - Self-service guidance and validation tools available for publishers								
			Develop and implement Validation tools based on IATI Registry	2	DI	X	X	X	X	X	
			Improve self-service support for publishers with new technical guidance as next phase of website development	2	DI	X	X	X			
3 - Maintaining and strengthening IATI Standard	3A - Technical Infrastructure; 3B - Management of the IATI Standard; 3C TAG										
	IATI's technical infrastructure is maintained and developed to ensure long term sustainability and reliability	Indicator 3A: Development and progress of roadmap guiding technical infrastructure sustainability Baseline: System support services provided on as-needed basis Target: Clear roadmap developed and in progress for sustainably maintaining and prioritising IATI technical infrastructure.	3A - Key systems, tools and infrastructure are stable and continuously improved								
			Maintain, troubleshoot and where necessary further develop IATI's technical systems, tools and infrastructure	1	DI	X	X	X	X	X	
	The IATI Standard continues to evolve to meet the needs of data users and publishers and reflects the changing open data environment	Indicator 3B: New Standard upgrade process is aligned with IATI's strategic direction. Baseline: IATI version 2.03 is operational. Target: A minor or major upgrade undertaken (based on user need and aligned with overall Strategic Direction)	3B The Standard is aligned with IATI's overall strategic direction								
			undertaking consultation with the IATI community and membership regularly and rigorously	1	DI						
			Continue to align IATI with (DAC codes and) other standards through regular technical consultation with relevant standards bodies within the open data community	1	DI	X	X	X	X	X	
		Indicator 3C: Average overall satisfaction score (/10) of surveyed participants with TAG meeting. Baseline: 8.5/10 average satisfaction score. Target: Maintain level of satisfaction at 8.5/10 or above	3C Annual TAG meetings								
			Annual meetings of the TAG ensure timely decision-making on all matters relating to the development and implementation of the IATI Standard	2	UNOPS	X	X		X	X	
				2	DI	X			X		
				2	UNDP	X			X		
4 - Communications & Outreach	4A - Outreach; 4B - Engagement; 4C - Communications; 4D -Branding										
	IATI's new vision, strategy and brand is implemented, enabling it to achieve its political objectives and engage target audiences with clear information	Indicator 4A: Members are aware of key external events and equipped with relevant advocacy materials Baseline: Event participation on ad hoc basis Target: IATI Speakers materials updated and used to promote IATI (# of downloads)	4A Outreach								
			Maintain and publicise information on relevant events among members and ensure they have up to date materials for use in promoting IATI strategic priorities	3	UNDP						
					DI	X	X	X	X	X	
			Contingency for Board travel to key events representing IATI.		UNOPS	X	X	X	X	X	
		Indicator 4B: Updated outreach strategy identifies priorities for sectoral engagement Baseline: Focus on increasing publisher and member numbers Target: Engagement strategy results in # of new publishers/members/users (TBC once strategy is agreed)	4B Engagement								
			Update outreach strategy to include targeted engagement plans for different stakeholder groups at political levels as well as to promote data quality and use.	2	UNDP						
			2	DI	X	X	X	X	X		
		Dedicated events to mark 10 years of IATI, to include GA September;	3	UNDP	X						
	Indicator 4C: Members receive relevant, good quality IATI publications. Baseline: Satisfaction rate of 70% Target: Satisfaction rate remains at 70% or higher on IATI communications activities and materials (survey)	4C Communications									
Stakeholders at all levels receive targeted relevant and up to date information on IATI, including through regular bulletins, news stories, and the annual report		2	DI	X	X	X	X	X	X		
Translation (includes Members Bulletin, Members Assembly meeting documents, updated SOP translation, contingency)		3	UNOPS	X	X	X	X	X			
Indicator 4D: Webpage views on new website increases in August 2019 compared to August 2018	4D Website										
	Update IATI's website to reflect the new branding of the initiative and ensure information can be found quickly and easily by all visitors to the	1	DI	X	X	X					
		2	UNOPS	X	X	X					

		Baseline: To be taken at the end of August 2018 Target: 10% increase	Translate website, (including copy on website and stakeholder comms).	3	DI	X	X	X		
		Indicator 4E: Increase of IATI followers per month June 2018 - June 2019 Baseline: Average number of new followers per month June 2017- May 2018: 59 Target: 70 /month; Training video completed (Yes/No)	4E Social media Strategy Develop and implement a new social media strategy based on the new website.	2	DI	X	X	X		
			Design and create a new IATI training video to market the initiative among new users	3	DI	X	X	X		
5A - Support to IATI Governing Bodies										
5. Institutional Arrangements	IATI governing bodies are supported to make timely decisions and act efficiently in driving the initiative forward.	Indicator 5A: Internal meetings lead to timely completion of planning documentation Baseline: Annual planning cycle Target: Yes/No/Partial	5A Support to Chair and Governing Board							
			Travel of Secretariat for strategic and operational planning meetings (internal)	1	UNDP	X	X	X	X	X
				1	UNOPS	X		X		X
				1	DI	X		X		X
			Travel of Board for strategic and operational planning meetings (internal)	1	UNOPS	X		X		X
		Indicator 5A: Feedback from MA participants reflects satisfaction with event as forum for strategic decision-making Baseline: 2017 MA feedback: fair/good Target: Satisfaction of members with MA as forum for decision-making (70% 'good or very good' rating through MA feedback survey)	5B Annual Members' Assembly meetings							
			The MA meets regularly to review and take decisions on recommendations by the Board.	1	UNOPS		X	X	X	
				1	UNDP		X	X	X	
				1	DI		X	X	X	
		Indicator 5C: Fundraising strategy results in sustainable financing; timeliness of contributions received Baseline: Targeted fundraising per event/activity; late/reduced receipts delay workplan implementation Target: 100% of approved budget is collected through annual membership contributions and voluntary contributions by Q2	5C Trust Fund Management and Operational Support							
			Implement a fundraising strategy including through targeted requests for voluntary funding	2	UNOPS	X	X	X	X	X
			Collect membership fees in a timely manner from all members	1	UNOPS	X	X	X	X	X
			Ongoing financial management	1	UNOPS	X	X	X	X	X
			Ad-hoc operational support	2	UNOPS	X	X	X	X	X
		Indicator: Governing Board and associated working groups function efficiently Baseline: No formal feedback Target: Governing Board rates Secretariat support received as good or excellent in annual feedback exercise (TBD)	5D Organise and attend annual IATI Secretariat face-to-face planning sessions							
IATI Secretariat and Technical Team are managed efficiently to support governance mechanism	2		UNOPS		X		X			
	2		DI		X		X			
		2	UNDP		X		X			
6 IATI Transition of institutional arrangements			All transition activities will be added separately after the July 2018 MA decision							
IATI's future is on a secure financial, governance and institutional footing	Indicator 6: Baseline: Target:									
	Indicator 6: Baseline: Target:									

Breakdown of Personnel Cost (IATI Secretariat)

Organisation	Role	FTE	Q1 Y6 \$	Q2-Q5 Y6 \$	In-kind
DI Secretariat	In-kind contribution	n/a		\$ -	\$ 40,000
DI Secretariat	IATI Technical Lead	0.2	\$ 11,259	\$ 34,416	\$ -
DI Secretariat	Director of Strategic Partnerships	0.2	\$ 11,580	\$ 35,400	\$ -
DI Secretariat	Project Manager	0.15	\$ 4,809	\$ 14,681	\$ -
DI Secretariat	IATI Lead	1.0	\$ 30,558	\$ 124,047	\$ -
DI Secretariat	Product delivery manager **	0.5	\$ 16,676	\$ 50,995	\$ -
DI Secretariat	Communications Adviser	1.0	\$ 29,313	\$ 89,663	\$ -
DI SECRETARIAT TOTAL		3.1	\$ 104,195	\$ 349,202	\$ 40,000
DI Technical Team	Developer	0.8	\$ 26,332	\$ 80,540	\$ -
DI Technical Team	Business and Data Analyst	1.0	\$ 22,724	\$ 75,616	\$ -
DI Technical Team	Business and Data Analyst	1.0	\$ 13,483	\$ 41,221	\$ -
DI Technical Team	Developer	1.0	\$ 32,768	\$ 100,236	\$ -
DI Technical Team	Developer	1.0	\$ 22,322	\$ 72,662	\$ -
DI Technical Team	Business and Data Analyst	1.0	\$ 25,938	\$ 87,926	\$ -
DI TECHNICAL TEAM TOTAL		5.8	\$ 143,567	\$ 458,201	\$ -
DI TOTAL		8.85	\$ 247,762	\$ 807,403	\$ 40,000
Organisation	Role	FTE	Q1 Y6 \$	Q2-Q5 Y6 \$	In-kind
UNDP	Senior Management Oversight	n/a	\$ -	\$ -	\$ -
UNDP	Coordinator	1	\$ -	\$ -	\$ 253,124
UNDP PERSONNEL			\$ -	\$ -	\$ 253,124
Organisation	Role	FTE	Q1 Y6 \$	Q2-Q5 Y6 \$	In-kind
UNOPS	Senior management oversight	n/a	\$ -	\$ -	\$ 15,000
UNOPS	Logistics, Admin and Financial Specialist	1	\$ 40,965	\$ 122,896	\$ -
UNOPS	Transparency and Communications Officer	0.5	\$ 17,699	\$ 53,098	\$ -
UNOPS	Transparency and Communications Analyst	0.5	\$ 14,699	\$ 44,098	\$ -
UNOPS	Support Services	n/a	\$ 38,673	\$ 116,020	\$ -
UNOPS PERSONNEL			\$ 112,037	\$ 336,112	\$ 15,000
			Q1 Y6 \$	Q2-Q5 Y6 \$	Y6 in-kind
TOTAL BREAKDOWN PERSONNEL COST			\$ 359,799	\$ 1,143,515	\$ -
TOTAL IN-KIND BREAKDOWN PERSONNEL COST				\$ -	\$ 308,124
GRAND TOTAL BREAKDOWN OF THE PERSONNEL COST			\$ 359,799	\$ 1,143,515	\$ 308,124

Cost breakdown for (1) Member Assembly (MA) Meeting* in Copenhagen, Denmark	
	Total Amount
Amount of people covered	20
DSA payment	384
Total DSA Costs	\$ 30,720
Average Flight Costs	\$ 2,000
Sum Flight Costs	\$ 40,000
Terminal Expenses	\$ 188
Total Terminal Expenses	\$ 3,760
Visa application fee per person	\$ -
Overall Visa application Fee	\$ 5,000
Total visa costs	\$ 5,000
Total event costs including venue, A/V equipment, Interpretation services, Decoration, etc.	\$ 31,500
Overall budget Sum	\$ 110,980
UN GMS costs 8%	\$ 8,878
Total (including UN GMS Cost)	\$ 119,858

Cost breakdown for (1) TAG Meeting	
	Total Amount
Amount of people covered	33
DSA payment	330
Total DSA Costs	\$ 43,560
Average Flight Costs	\$ 1,750
Sum Flight Costs	\$ 51,000
Terminal Expenses	\$ 188
Total Terminal Expenses	\$ 6,204
Visa application fee per person	\$ -
Overall Visa application Fee	\$ 6,500
Total visa costs	\$ 6,500
Total event costs including venue, A/V equipment, Interpretation services, Decoration, etc.	\$ 75,100
Overall budget Sum	\$ 182,364
UN GMS costs 8%	14,589
Total (including UN GMS Cost)	\$ 196,953