



International
Aid Transparency
Initiative

IATI Strategic Plan (2020-2025)

Results Framework

Table of Contents

| | |
|---|---------|
| Section 1: Introduction | <hr/> 1 |
| Results Framework Development Process | <hr/> 2 |
| Consultative Process | |
| Guiding Principles | |
| Twin-Track Approach | |
| Implementation Plan - Track 1 | |
| Establishment of baselines and targets (2020) | |
| Annual data collection process (2021-2025) | |
| Mid-term Review of the Strategic Plan (2022/2023) | |
| Section 2: Results Framework Table | <hr/> 5 |

Section 1: Introduction

In the more than 12 years since its founding, IATI has made huge strides toward providing more open and transparent development cooperation data to both development and humanitarian stakeholders. To build on this progress, in late 2019, IATI members inclusively developed and agreed on an ambitious five-year Strategic Plan (2020-2025), which sets out key goals for the initiative over its next five years, aimed at capitalising on the initiative's strengths, addressing its weaknesses and maximising its contribution towards achieving sustainable development outcomes.

To ensure that measurable objectives are kept in sight, IATI has also developed this Strategic Plan Results Framework. The Results Framework translates the [IATI Strategic Plan \(2020-2025\)](#) into a set of measurable targets that demonstrate how the IATI membership, Secretariat and Governing Board will prioritise efforts to deliver on the agreed mandate and vision through 2025. The framework should be considered an adaptive tool, subject to revision and reassessment by the membership on the basis of its utility for decision-making and learning over time. As such, further discussion will be opened among the IATI membership mid-way through implementation of the Strategic Plan (2022/2023) to ensure the Results Framework indicators remain useful for moving IATI toward achieving the objectives set out in its Strategic Plan.

The purpose of this document is to describe the process for how the Results Framework was constituted and how it will be measured and assessed. Section 1 prescribes a brief overview of the Results Framework development process. Section 2 outlines the high-level Results

Framework, including indicators, baselines where already available, and targets for the 2020-2025 period. You may also wish to read through the accompanying Results Framework methodologies, which are available as a separate document and contain methodological information for all indicators of the Framework. The methodology sheets describe in detail the indicator description, data collection and analysis (including methods, baselines and targets) as well as other supplementary information.

Results Framework Development Process

Consultative Process

IATI engaged in a wide-ranging and consultative process to ensure that the Results Framework includes input from its diverse set of members. This included consultations with members at the Members' Assembly in Brussels in late 2019; a Working Group composed of IATI members; and a round of written comments from the IATI membership, which were accepted where they were technically feasible and in line with the Results Framework's strategic objectives. The Outcomes and Indicators were endorsed by the IATI Governing Board in mid-2020.

Guiding Principles

Outcomes and Indicators included here were chosen for their utility in tracking progress toward the goals expressed in the Strategic Plan, the feasibility of collecting data annually and accounting for any technical limitations. During the process of elaborating the Results Framework methodologies, some "aspirational" indicators that were included in the initial development process were found to be logically or technically infeasible, and therefore had to be excluded from the

final framework. In addition, this framework recognises that some indicators will only be measurable over time contingent on the development or maturity of relevant tools (e.g. the IATI Validator) or ability to put in place new mechanisms for tracking progress (e.g. where results will be tracked by the IATI Secretariat).

Twin-Track Approach

To capture the IATI Strategic Plan's overall impact and contribution to development effectiveness, a twin-track approach to monitoring and reporting will be adopted. This connects monitoring the quantitative results and contributions of the Strategic Plan (Track 1) to monitoring the overall long-term IATI outcomes (Track 2), which are often qualitative or narrative-based. Track 1 will be monitored through the indicators in the Results Framework (the bulk of this document), while Track 2 will be monitored through the application of the Most Significant Change (MSC) methodology.

The MSC approach involves generating and analysing personal accounts of change and deciding which of these accounts is the most significant – and why. MSC is not just about collecting and reporting stories but about having processes to learn from these stories

– in particular, to learn about the similarities and differences in what different groups and individuals value. It provides some information about impact and unintended impact but is primarily about clarifying the values held by different stakeholders.

As such, Track 2 will capture stories of progress and results – that is, qualitative demonstrations of the impact that IATI data is having on the ground in improving the effectiveness of development cooperation and driving impact, to ensure a holistic picture of progress is received over time. These stories will be collected via the IATI Community, creating an open call for members, publishers and users to submit narrative examples of IATI data demonstrating significant impact as part of the annual data collection for monitoring Track 1 (see next section).

Implementation Plan - Track 1

Track 1 of the Results Framework allows IATI to quantitatively track its progress in implementing the aims of the Strategic Plan against measurable indicators. This progress is based on mutual accountability of the members, Governing Board and Secretariat. This section outlines the specific sequence of events to implement the Results Framework over the five-year period.

Establishment of baselines and targets (2020)

Data Collection

Baseline data was collected by the IATI Secretariat after approval of the overall indicators by the Governing Board. This included an initial data gathering process from internal IATI systems (e.g. the Validator) and IATI records (e.g. membership lists) in April 2020. In the same month, a survey was also sent out to known members of the IATI Community. The survey was structured so that respondents would only

answer questions relevant to their organisation's key demographic group: publishers, members, member-publishers and general data users. The survey was sent directly to members and publishers – in line with General Data Protection Regulations (GDPR) – and was made available to data users through IATI's public-facing communications channels (such as Twitter, the IATI website, etc.).

Data Calculation and Storage

To calculate relevant baselines for the Results Framework, the collected data was processed based on the methodologies laid out in the accompanying methodological notes. This data will be stored by the IATI Secretariat and while overall results and trends may be shared publicly, including in Annual Reports, non-anonymised data will not be shared. Once the baseline data was collected, the Secretariat proposed annual targets which were assessed for feasibility.

Annual data collection process (2021-2025)

Data Collection

The IATI Secretariat will conduct an annual data collection process in the first quarter of the year following the year to be assessed (e.g. 2020 data will be assessed in Q1 of 2021), in conjunction with the Annual Report development process. This data collection will utilise a mixed-methods approach, using a combination of: surveys to members, publishers and data users; data collection from IATI systems and tools; third-party tools including Google and Twitter analytics; and internal tracking by the Secretariat (e.g. list of members), similar to the baseline data collection process outlined above. The extraction of internal IATI data will occur on 31 December of the year being reported upon to ensure consistency and limit bias. Data collection to establish baselines during the first year of the Results Framework assessment may differ if the indicator is dependent on data from tools or processes still under development.

Data Analysis and Storage

Data calculation and storage will follow the same procedures outlined above for the baseline analysis. In addition, analysis of each indicator should not be done in isolation: the Results Framework is designed to delineate a clear logic from the Outputs up to the Outcomes of the Strategic Plan. As such, improvements in outputs should be analysed in the context of their corresponding outcome indicators, as well as other output indicators under the same outcome, to identify complementary patterns and action points to inform IATI's strategic approach.

Mid-term Review of the Strategic Plan (2022/2023)

As previously stated, the Results Framework should be seen as an adaptive tool and is subject to revisions as necessary. As part of the Mid-term Review of the Strategic Plan, the Results Framework will be reassessed to ensure it is adequately tracking progress towards the objectives of the Strategic Plan. This analysis will include assessments on: the measurability of Outcomes and Outputs; and whether it yields actionable insights on the strategic direction of IATI. In this vein, the Mid-term Review may include the revision, removal and/or addition of indicators to the framework. This process will be inclusive, incorporating inputs from IATI's wide demographic spread (partner countries, donors, civil society organisations, etc.).

Section 2: Results Framework Table

| Outcome 1: Significant improvement in the quality of data published to IATI | | | | | | | | | |
|---|---|--|---|--|--|--|--|--|--|
| Outcome Indicator | Data source | Baseline | Target 2020 | Target 2021 | Target 2022 | Target 2023 | Target 2024 | Target 2025 | |
| Outcome Indicator 1.1 Percentage of publishers whose Data Quality Index score increases above baseline. <i>The results from monitoring of this indicator will be disaggregated by metric assessed (e.g. timeliness, comprehensiveness, coverage) and also by publisher annual total spend¹.</i> | Data Quality Index to be developed by mid-2021 through a consultative process with IATI community members. The Index should include revised measures for timeliness, comprehensiveness and forward-looking data quality. It may also include measures for coverage and traceability, among others, and will attempt to quantify data users' level of trust in published data and utilisation of the gender marker, as feasible. | TBD in 2021 | N/A | Baseline | 20% above baseline | 30% above baseline | 40% above baseline | 50% above baseline | |
| Outcome Indicator 1.2 Percentage of publishers whose scores in the current IATI Dashboard increase above baseline, or that maintain a score of 100% once achieved (based on timeliness, comprehensiveness and forward-looking nature as currently assessed). ² <i>The results from monitoring of this indicator will be disaggregated by metric assessed (e.g. timeliness, comprehensiveness, forward-looking nature) and also by publisher total annual spend.</i> | IATI Dashboard | Overall 0% Timeliness 4.5% Comprehensiveness 0% Forward-looking nature 4.1% | Overall 20% Timeliness 23.6% ³ Comprehensiveness 20.0% Forward-looking nature 23.3% | Overall 36.0% Timeliness 38.9% Comprehensiveness 36.0% Forward-looking nature 38.6% | Overall 48.8% Timeliness 51.1% Comprehensiveness 48.8% Forward-looking nature 50.9% | Overall 59.0% Timeliness 60.9% Comprehensiveness 59.0% Forward-looking nature 60.7% | Overall 67.2% Timeliness 68.7% Comprehensiveness 67.2% Forward-looking nature 68.6% | Overall 20% Timeliness 23.6% Comprehensiveness 20.0% Forward-looking nature 23.3% | |

¹Total spend is the total of all disbursements (transfers from donors to organisations) and expenditures (funds used to carry out an activity or transferred to a non-reporting entity to carry out the activity). This document primarily uses total spend to disaggregate publishers by the following sub-groups as a proxy for the size of their organisation and volume of data published to IATI.

³These targets are based on an additional 20% of publishers (in addition to those that did so in the previous year) increasing their score or achieving 100%.

²Once the Data Quality Index (Outcome Indicator 1.a) is in place, which will contain revised methodologies for timeliness, comprehensiveness and forward-looking nature, this indicator will no longer be viable and will be phased out in favour of using the Data Quality Index to assess publishers' progress in improving data quality.

| Outcome 1: Significant improvement in the quality of data published to IATI | | | | | | | | | |
|--|--|---|----------------------|------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|
| Intended Output | Output indicator | Data source | Baseline | Target 2020 | Target 2021 | Target 2022 | Target 2023 | Target 2024 | Target 2025 |
| Output 1.a Current and new publishers meet the highest standards of data quality through improved tools and guidance | Output Indicator 1.a.i Percentage of data users satisfied with feedback after alerting publishers (via the Secretariat's Technical Team) to issues with their data – annual <i>The results from monitoring of this indicator will be disaggregated by data user group (e.g. partner country government, civil society, etc.) and also by region of data user.</i> | Satisfaction survey completed by data users who report data issues to the technical team. This survey is meant to assess satisfaction with the resolution offered by the publisher to the data issue, not the performance of the technical team in facilitating the communication and resolution of the issue. The survey will be implemented from 2021. | TBD in 2021 | N/A | Baseline | TBD vis a vis baseline | TBD vis a vis baseline | TBD vis a vis baseline | TBD vis a vis baseline |
| | Output Indicator 1.a.ii Percentage of known publishing tools integrating the IATI Validator | Data will be sourced from responses submitted during an annual survey of IATI publishers conducted in Q1 of the reporting year and supplemented by Secretariat records. | 0% | 0 ⁴ | 20% | 25% | 30% | 35% | 40% |
| | Output Indicator 1.a.iii Percentage of publishers who reduce their number of validation errors; and percentage of publishers who reduce their number of warning types ⁵ <i>The results from monitoring of this indicator will be disaggregated by publisher total annual spend.</i> | IATI Validator | TBD in December 2020 | TBD vis a vis baseline |

⁴Note: integration will only happen after 2020, so there will be no value for 2020.

⁵Or maintain 0 errors or warnings once achieved.

| Outcome 1: Significant improvement in the quality of data published to IATI | | | | | | | | | |
|--|--|--|---|---|---|---|---|---|---|
| Intended Output | Output indicator | Data source | Baseline | Target 2020 | Target 2021 | Target 2022 | Target 2023 | Target 2024 | Target 2025 |
| Output 1.a Current and new publishers meet the highest standards of data quality through improved tools and guidance (continued) | Output Indicator 1.a.iv Percentage of users satisfied with IATI technical tools (including IATI Registry, Datastore / Query Builder, Validator, d-Portal or successor tool) <i>The results from monitoring of this indicator will be disaggregated by tool.</i> | Data will be sourced from responses submitted during an annual survey of the IATI community conducted in Q1 of the reporting year. | Overall satisfaction rating: 66% IATI Registry: 67% Datastore / Query Builder: 57% Validator: 74% d-Portal: 68% | Overall satisfaction rating: 70% IATI Registry: 70% Datastore / Query Builder: 65% Validator: 75% d-Portal: 70% | Overall satisfaction rating: 72% IATI Registry: 72% Datastore / Query Builder: 67% Validator: 77% d-Portal: 70% | Overall satisfaction rating: 74% IATI Registry: 74% Datastore / Query Builder: 69% Validator: 79% d-Portal: 72% | Overall satisfaction rating: 76% IATI Registry: 76% Datastore / Query Builder: 71% Validator: 81% d-Portal: 74% | Overall satisfaction rating: 78% IATI Registry: 78% Datastore / Query Builder: 73% Validator: 83% d-Portal: 76% | Overall satisfaction rating: 80% IATI Registry: 80% Datastore / Query Builder: 75% Validator: 85% d-Portal: 78% |
| | Output Indicator 1.a.v Percentage of publishers publishing every quarter or more - annual <i>The results from monitoring of this indicator will be disaggregated by publisher total annual spend.</i> | IATI Dashboard | 26% | 41% | 53% | 62% | 70% | 76% | 81% |

| Outcome 1: Significant improvement in the quality of data published to IATI | | | | | | | | | |
|---|--|--|---|------------------------|--|-------------------------------|-------------------------------------|------------------------|------------------------|
| Intended Output | Output indicator | Data source | Baseline | Target 2020 | Target 2021 | Target 2022 | Target 2023 | Target 2024 | Target 2025 |
| Output 1.b IATI Standard strengthened to improve data quality | Output Indicator 1.b.i “Standardised” Standard ⁶ developed, agreed, and implemented | Working Group minutes, online consultations with the IATI community | N/A | N/A | Working Group constituted; “standardised” Standard approach proposed | Standardised” Standard agreed | “Standardised” Standard implemented | N/A | N/A |
| | Output Indicator 1.b.ii Percentage of publishers publishing data in all mandatory fields – annual <i>The results from monitoring of this indicator will be disaggregated by publisher total annual spend.</i> | IATI Validator | Baseline to be collected in December 2020 | TBD vis a vis baseline | TBD vis a vis baseline | TBD vis a vis baseline | TBD vis a vis baseline | TBD vis a vis baseline | TBD vis a vis baseline |
| | Output Indicator 1.b.iii Percentage of total annual spend reported to IATI by publishers who sign up to a single set of member-approved IATI Publishing Guidelines ⁷ that specify how data must and should be reported – cumulative | The list of publishers who endorse the IATI Publishing Guidelines will be maintained by the IATI Secretariat. Publishing Guidelines can only be drafted and consulted after the process of “standardising” the Standard is complete. | To be developed in 2022 | N/A | N/A | Baseline | TBD vis a vis baseline | TBD vis a vis baseline | TBD vis a vis baseline |

⁶As agreed in the 2020-2025 Strategic Plan, IATI will undertake an exercise to streamline the Standard so that it contains “core” fields which are universally relevant for all data users and publishers. The process of arriving at these changes will be undertaken in close consultation with the IATI membership, publishers, and data users, and is expected to commence in late 2021.

⁷This set of publishing guidelines will only be developed after the process of “standardising” the Standard has been concluded. The guidelines will be developed in close consultation with the IATI community and should be approved by its membership. Progress on this indicator will be assessed from 2023.

| Outcome 2: IATI data is systematically used by development and humanitarian actors for decision-making | | | | | | | | | |
|---|--|---|--|---|---|---|---|---|---|
| Outcome Indicator | Data source | Baseline | Target 2020 | Target 2021 | Target 2022 | Target 2023 | Target 2024 | Target 2025 | |
| Outcome Indicator 2.1 Number of partner country governments referencing IATI data in national development policies and other government documents - cumulative <i>The results from monitoring of this indicator will be disaggregated by member / non-member and document type (e.g. national development cooperation policy).</i> | Data will be collected by the IATI Secretariat through a survey shared with partner country members in Q1 of the reporting year. Data will also be collected by the IATI Secretariat through a thorough desk review of non-member countries conducted in Q1 of the reporting year. | 2 | 4 | 6 | 8 | 10 | 12 | 14 | |
| Outcome Indicator 2.2 Number of IATI partner country governments systematically using IATI data for decision-making – cumulative | Data will be collected by the IATI Secretariat through a survey shared with partner country members in Q1 of the reporting year and through Secretariat notes from conversations with partner countries on their use of IATI data. | - | 2 | 5 | 9 | 14 | 20 | 27 | |
| Intended Output | Output indicator | Data source | Baseline | Target 2020 | Target 2021 | Target 2022 | Target 2023 | Target 2024 | Target 2025 |
| Output 2.a IATI data is regularly accessed. | Output Indicator 2.a.i Number of unique visits to d-Portal and the Datastore / Query Builder (excluding developers and testers to the extent possible)- annual <i>The results from monitoring of this indicator will be disaggregated by region of access.</i> | Google Analytics | d-Portal: 25,072 ⁸ Datastore / Query Builder ⁹ : N/A (baseline TBD) | d-Portal: 27,500 Datastore / Query Builder: TBD vis a vis baseline | d-Portal: 30,000 Datastore / Query Builder: TBD vis a vis baseline | d-Portal: 33,000 Datastore / Query Builder: TBD vis a vis baseline | d-Portal: 36,500 Datastore / Query Builder: TBD vis a vis baseline | d-Portal: 40,378 Datastore / Query Builder: TBD vis a vis baseline | d-Portal: 44,416 Datastore / Query Builder: TBD vis a vis baseline |
| | Output Indicator 2.a.ii Number of active tools that access IATI data via the Datastore - cumulative | The data source will be a list of tools maintained and updated by the IATI Secretariat. | 0 | 1 | 3 | 5 | 7 | 9 | 12 |

⁸These targets represent an approximately 10% year over year growth rate above baseline.

⁹As the Query Builder was only officially launched in September 2020, this baseline is still TBD.

| Outcome 2: IATI data is systematically used by development and humanitarian actors for decision-making | | | | | | | | | |
|--|---|---|-------------|-------------|------------------------|------------------------|------------------------|------------------------|------------------------|
| Intended Output | Output indicator | Data source | Baseline | Target 2020 | Target 2021 | Target 2022 | Target 2023 | Target 2024 | Target 2025 |
| Output 2.a IATI data is regularly accessed (Continued) | Output Indicator 2.a.iii Number of IATI partner country members whose national aid information management systems include IATI data - annual <i>The results from monitoring of this indicator will be disaggregated by region.</i> | The data source is self-reporting by partner countries on whether their AIMS includes IATI data. This self-reporting will be done through a survey that will be shared with IATI partner country members conducted annually in Q1 to report on the preceding year. | - | 2 | 3 | 5 | 7 | 10 | 13 |
| Output 2.b Data literacy and capacity for data use of partner countries, publishers and CSOs is strengthened | Output Indicator 2.b.i Number of publishers directly supported on how to use IATI data – annual <i>The results from monitoring of this indicator will be disaggregated by training provider (e.g. IATI Secretariat or peer).</i> | The data source is self-reporting by publishers. This self-reporting will be done through a survey that will be shared with IATI publishers conducted annually in Q1 to report on the preceding year. This will also be supplemented with tracking logs kept by the IATI Secretariat from 2021. | 10^{10} | 15 | 18 | 21 | 25 | 30 | 36 |
| | Output Indicator 2.b.ii Number of partner country governments directly supported by the Secretariat on how to use IATI data – annual <i>The results from monitoring of this indicator will be disaggregated by region of training recipient.</i> | The data source will be tracking logs kept by the IATI Secretariat. | TBD in 2021 | Baseline | TBC vis a vis baseline | TBC vis a vis baseline | TBC vis a vis baseline | TBC vis a vis baseline | TBC vis a vis baseline |

¹⁰Note that the baseline only contains data from the community survey as Secretariat tracking logs will not be included until 2021.

| Outcome 2: IATI data is systematically used by development and humanitarian actors for decision-making | | | | | | | | | |
|---|--|--|-------------|-------------|------------------------|------------------------|------------------------|------------------------|------------------------|
| Intended Output | Output indicator | Data source | Baseline | Target 2020 | Target 2021 | Target 2022 | Target 2023 | Target 2024 | Target 2025 |
| Output 2.b Data literacy and capacity for data use of partner countries, publishers and CSOs is strengthened (Continued) | Output Indicator 2.b.iii Number of CSOs directly supported on how to use IATI data – annual <i>The results from monitoring of this indicator will be disaggregated by region of training recipient.</i> | The data source is self-reporting by members of the IATI community. This self-reporting will be done through a survey that will be conducted annually in Q1 to report on the preceding year. This will also be supplemented with tracking logs kept by the IATI Secretariat. | TBD in 2021 | Baseline | TBC vis a vis baseline | TBC vis a vis baseline | TBC vis a vis baseline | TBC vis a vis baseline | TBC vis a vis baseline |

| Outcome 3: The IATI Community of members, data users and publishers are increasingly engaged to maximise impact | | | | | | | | | |
|---|---|---|-------------------|------------------|-------------|-------------|-------------|-------------|-------------|
| Outcome Indicator | Data source | Baseline | Target 2020 | Target 2021 | Target 2022 | Target 2023 | Target 2024 | Target 2025 | |
| Outcome Indicator 3.1 Number of Community of Practice members active on IATI's digital platform (IATI Connect) within the past six months – annual <i>The results from monitoring of this indicator will be disaggregated by constituency.</i> | IATI Connect analytics | 0 (platform launched in November 2020) | 100 | 175 | 250 | 325 | 375 | 425 | |
| Outcome Indicator 3.2 Percentage of members attending the annual Members' Assembly (in-person or virtually) <i>The results from monitoring of this indicator will be disaggregated by constituency.</i> | Members' Assembly minutes | 63% | 65% ¹¹ | 67% | 69% | 71% | 73% | 75% | |
| Intended Output | Output indicator | Data source | Baseline | Target 2020 | Target 2021 | Target 2022 | Target 2023 | Target 2024 | Target 2025 |
| Output 3.a A larger, more diverse IATI membership is created | Output Indicator 3.a.i Number of IATI members - annual <i>The results from monitoring of this indicator will be disaggregated by constituency and region.</i> | IATI Secretariat | 94 | 99 ¹² | 103 | 109 | 114 | 120 | 126 |
| Output 3.b Expanded awareness of IATI and its data | Output Indicator 3.b.i Number of members and publishers providing internal training on using or publishing IATI data - annual <i>The results from monitoring of this indicator will be disaggregated by member / publisher / member + publisher.</i> | The data source is self-reporting by members and publishers. This self-reporting will be done through a survey that will be conducted annually in Q1 to report on the preceding year. | 23 | 30 | 35 | 40 | 45 | 50 | 55 |

¹¹These targets represent an approximately 3% year over year growth rate above baseline.

¹² These targets represent an approximately 5% year over year growth rate above baseline.

| Outcome 3: The IATI Community of members, data users and publishers are increasingly engaged to maximise impact | | | | | | | | | |
|---|--|-------------------|---|---|---|---|---|---|---|
| Intended Output | Output indicator | Data source | Baseline | Target 2020 | Target 2021 | Target 2022 | Target 2023 | Target 2024 | Target 2025 |
| Output 3.b Expanded awareness of IATI and its data (Continued) | Output Indicator 3.b.ii Number of unique visitors to the IATI website (excluding developers and testers to the extent possible) - annual <i>The results from monitoring of this indicator will be disaggregated by region of visitor.</i> | Google Analytics | 95,346 | 105,000 ¹³ | 115,000 | 127,000 | 140,000 | 154,000 | 169,000 |
| | Output Indicator 3.b.iii Number of impressions and mentions of IATI on Twitter – annual <i>The results from monitoring of this indicator will be disaggregated by region of visitor.</i> | Twitter Analytics | Impressions 379,000 Mentions 671 | Impressions 417,000 ¹⁴ Mentions 800 ¹⁵ | Impressions 459,000 Mentions 1,000 | Impressions 504,000 Mentions 1,200 | Impressions 555,000 Mentions 1,400 | Impressions 610,000 Mentions 1,700 | Impressions 671,000 Mentions 1,870 |

¹³These targets represent an approximately 10% year over year growth rate above baseline.

¹⁴These targets represent an approximately 10% year over year growth rate above baseline.

¹⁵These targets represent an approximately 20% year over year growth rate above baseline.

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