Members’ Assembly 2021
DAY 2 Session 4: Welcome back

Henry Asor, Nigeria
IATI Governing Board Vice-Chair
9 December 2021
Housekeeping / Rules of engagement

- **Rename yourself** and include your Name / Organisation / Status (observer or member)

- **If you want to speak**, please raise your virtual hand to be unmuted. Do speak slowly, so interpreters can keep up!

- Click ‘interpretation’ for language choices: French ⇔ English, Spanish ⇔ English

- Feel free to **comment in the chat box** to participate.

Welcome back Session 4

01 Recap of DAY 1

02 2022 Governing Board Elections
Recap of DAY 1

Decision 1 - IATI should remain a hosted initiative for the next five years

Decision 2 - the TORs for the hosting arrangements should be updated and include the five elements set out by the IWG. Additional principles identified by members to be added.

Decision 3 - agreed not to implement an open tendering process (option 3c)  

Decision 3 - agreed to take forward option 3a: negotiations with the current hosting consortium on the basis of the updated TOR and option 3b executive search for alternative hosts in parallel rather than sequentially in the interests of time plus strengthening IATI’s negotiating position.

Decision 4 - IATI should scope development of TORs for IATI to become a legal entity (which would be taken back to the next MA)
### Governing Board Elections 2022

<table>
<thead>
<tr>
<th>Date</th>
<th>Event</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 February</td>
<td>Announcement&lt;br&gt;Announcement of election timetable with messaging to encourage members to stand.</td>
</tr>
<tr>
<td>28 February - 11 March</td>
<td>Nominations open&lt;br&gt;Nominations open for a two week period.</td>
</tr>
<tr>
<td>14-18 March</td>
<td>Nominees announced&lt;br&gt;Secretariat circulates nomination statements within each constituency and announces final outcome by 21 March.</td>
</tr>
<tr>
<td>21 - 25 March</td>
<td>Virtual elections&lt;br&gt;Virtual election takes place for any constituency where no clear consensus has been achieved (all constituency may vote), AND for the technical seat.</td>
</tr>
<tr>
<td>18-29 April</td>
<td>Board meeting&lt;br&gt;A two day face-to-face meeting takes place on a date to be confirmed once new Board is elected. Chair and Vice-Chair are chosen at the first meeting.</td>
</tr>
</tbody>
</table>
Governing Board Elections 2022

7 seats elected for 2 years

Providers of development cooperation

Partner countries

Civil society organisations and other

Technical seat

Why stand?

Use your expertise to help transform the impact & effectiveness of development & humanitarian resources

Ensure IATI delivers the data and services that your constituency needs

Raise the profile of your organisation and its commitment to aid effectiveness and transparency

Lead important upcoming work on IATI’s institutional arrangements & mid-term strategic plan review

Excellent opportunities to travel and network with colleagues passionate about open data

Gain experience leading a high-profile international development initiative
Discussion & Q&A
Session 5
Towards the mid-term review of the Strategic Plan

Winnie Kamau, Association of Freelance Journalists
IATI Governing Board

and

Sarah Scholz, USAID
Agenda for the session

- **Recap** of work undertaken thus far
- Presentation of **2020 and preliminary 2021 results**
- Discussion around reconvening a Results Working Group
- **Roadmap to mid-term review** of the Strategic Plan / Results Framework
Recap: IATI Strategic Plan 2020-2025

- Inclusively developed by members throughout 2019
- Sets out key goals for IATI through 2025
- Aimed at capitalising on IATI’s strengths and addressing its weaknesses
- For the initiative as a whole (members, community, Secretariat)
- Mutually reinforcing priorities ->
Recap: Strategic Plan Results Framework

- Initial RF outline developed by a Working Group of members; approved by the Governing Board.

- For the initiative as a whole (members, community, Secretariat).

- An internal management tool - a chance to reflect and adjust.

- Most useful when viewed alongside planned actions.

- Some of the indicators not working as hoped, and can be refined. Nevertheless, a chance to pause and take stock.

- Annual monitoring. Current data is from 2020 and preliminary from 2021; it can (and should) be available earlier in future rounds.
Review of 2020 results

Strategic Plan Objective 1: Significant improvement in the quality of data published to IATI
- On track
- More attention needed
- N/A for 2020

Output 1.a: Current and new publishers meet the highest standards of data quality through improved tools and guidance
Output 1.b: IATI Standard strengthened to improve data quality

Strategic Plan Objective 2: Improving Systematic use of IATI data
- On track
- More attention needed
- Off track
- Baseline in 2020

Output 2.a: IATI data is regularly accessed
Output 2.b: Data literacy and capacity for data use of partner countries, publishers, and CSOs is strengthened

Strategic Plan Objective 3: Reinvigorated community of IATI publishers and members
- On track
- More attention needed
- Off track
- Baseline in 2020

Output 3.a: A larger, more diverse IATI membership is created
Output 3.b: Expanded awareness of IATI and its data (website, social media)
Preliminary 2021 data

- Only available for indicators where there are internal Secretariat mechanisms for data collection.

- Does not yet give a holistic picture of progress on all outcomes and indicators, but useful nonetheless.

- Secretariat estimates for where we will land by 31 December 2021 based on data currently available (i.e. final results may change slightly).

- Final 2021 results will be available in Q2 of 2022.
Preliminary 2021 results

Strategic Plan Objective 1: Significant improvement in the quality of data published to IATI

Output 1.a: Current and new publishers meet the highest standards of data quality through improved tools and guidance

Output 1.b: IATI Standard strengthened to improve data quality

Strategic Plan Objective 2: Improving systematic use of IATI data

Output 2.a: IATI data is regularly accessed

Output 2.b: Data literacy and capacity for data use of partner countries, publishers, and CSOs is strengthened

Strategic Plan Objective 3: Reinvigorated community of IATI publishers and members

Output 3.a: A larger, more diverse IATI membership is created

Output 3.b: Expanded awareness of IATI and its data (website, social media)
Proposed changes in advance of 2021 monitoring

- **Addition of a dedicated Secretariat M&E Specialist** to organise and oversee the monitoring process throughout the year.
  - Enables more frequent reporting, helps ensure results are fed into improved programme implementation.

- **Addition of interviews** with the IATI Governing Board and Secretariat to contextualise results and further delve into the “why” of the outcomes.

- **Minor proposed changes to indicators, methodology, and targets** (while preserving continuity) to be considered by reconvened Working Group (*more on this later…*).

- **Dedicated work to overcome low survey response rates** (key method of data collection from the community).
  - *Please look out for and fill out this short survey in Q1!*
Discussion & Q&A
Reconvening a Results Working Group

- Working Group originally convened to develop outline and parameters for the RF (late 2019 - early 2020).

- Seeking MA approval to reconstitute the Working Group to:
  - Evaluate proposals from the Secretariat on minor updates to methodology and targets for 2021 monitoring.
  - Consider questions around frequency of reporting.
  - Lead the mid-term review of the SP and RF, in consultation with members (more on this later…).

- If agreed, new call for participation to be issued in advance of late January meeting of the WG (to inform 2021 monitoring process).
Roadmap to the mid-term review of the SP and RF

- 2021 MA
  - Decision to reconvene SP Results WG

- Jan / Feb 2022
  - Results WG considers minor refinements for 2021 monitoring

- Feb-Apr 2022
  - 2021 RF monitoring process

- May-June 2022
  - Results WG considers 2020, 2021, and preliminary 2022 RF results

- July-Aug 2022
  - Results WG proposal on refinements to SP and RF post 2022

- Sept-Oct 2022
  - Online consultation with members on proposed revisions

- Nov 2022
  - Results WG refines proposal

- 2022 MA
  - Revised SP and RF endorsed
Discussion & Q&A
30 min break
Session 6
Presentation of work plan and budget for 2022

IATI Governing Board and Secretariat
Work plan 2022 - Some key features

- Familiar workstream presentation; mapping retained from 2021
- Grouped areas of activity
- Listed activities are indicative, not comprehensively listed here
- Budget column excludes personnel costs (separate page)
- New ‘Administration and enabling actions’ workstream
Strategic Objective - Data Use

Review of 2020 results

- **Strategic Plan Objective 2:** Improving Systematic Use of IATI Data
  - Output 2.a: IATI data is regularly accessed
  - Output 2.b: Data literacy and capacity for data use of partner countries, publishers, and CSOs is strengthened

Workplan 2022

- **Capacity building / literacy:** Resources and direct support to all user groups to access, understand, analyse, and use IATI data, including dedicated support to partner country governments
- **Data access:** Progress work on improved data portal and continue to support use and learning from CDFD

- **Awareness-raising and training through regional workshops**
- **Training of Trainers and follow-on support for building capacity at country level**
Strategic Objective - Improve data quality

Review of 2020 results

- On track
- More attention needed
- Off track
- Baseline in 2020
- N/A for 2020

Strategic Plan Objective 1:
Significant improvement in the quality of data published to IATI

Output 1.a: Current and new publishers meet the highest standards of data quality through improved tools and guidance

Output 1.b: IATI Standard strengthened to improve data quality

Workplan 2022

Engage with and support new and existing publishers to help them improve data quality

Implement and incentivise use of the DQI through UX work and developing prototype front end
Strategic Objective - Strengthen the IATI Community

Review of 2020 results → Workplan 2022

Strategic Plan Objective 3: Reinvigorated community of IATI publishers and members

- Output 3.a: A larger, more diverse IATI membership is created

- Output 3.b: Expanded awareness of IATI and its data

Strengthen and grow the IATI community, including increasing diversity of stakeholders (includes Community events both virtual and hybrid)

Build IATI Connect digital platform as a key community resource

Establish an e-Learning Strategy and launch / IATI Academy module within IATI Connect
Strategic Objective - Strengthening Standard and technical core

Workplan 2022

- Management of integrated platform
- Management of external technical tools
- Manage development of new technical tools
- Outsourced developer capacity as needed
- Management of the IATI Standard, in line with RF output 'standardised standard developed, agreed and implemented'

Implement new publishing tool and ensure it helps drive improved Data Quality

Progress d-portal User Experience design project
Strategic Objective - Cross-cutting: Communications and User Experience

Review of 2020 results

- Off track in 2020
- Baseline in 2020
- N/A for 2020

Strategic Plan Objective 3: **Reinvigorated community** of IATI publishers and members

Output 3.a: A **larger, more diverse** IATI membership is created

Output 3.b: **Expanded awareness** of IATI and its data

Workplan 2022

- **Business as usual communications** e.g. newsletters, website, etc
- **Annual report 2021**
- **IATI Website User Experience review** (Q4 2021)

**Support package for new publishers** (DQ)

Simplified, user-centred communications and upgraded website - based on step-by-step user journeys of publishers and users
Strategic Objective - Cross-cutting: Outreach and Engagement

Review of 2020 results

- Off track
- Baseline in 2020
- N/A for 2020

Workplan 2022

1. Increased engagement with existing members, international fora and relevant networks
2. Focus strategic outreach and engagement to enable improvements to data coverage; Advocacy for attracting new members / publishers
3. Use the Data Quality Index as a tool to incentivize data quality from political levels.
4. Promote interoperability through joint discussions with other data standards and initiatives

- Quarterly thematic round tables (“IATI Talks”) to demonstrate data use cases and increase overall awareness of IATI in the international community

Strategic Plan Objective 3: Reinvigorated community of IATI publishers and members

Output 3.a: A larger, more diverse IATI membership is created

Output 3.b: Expanded awareness of IATI and its data
Strategic Objective - Cross-cutting: Institutional arrangements

- Support to MA and Board
- Working Groups management and administration

Other TBC, based on IWG recommendations and decisions
Strategic Objective - Cross-cutting: Administration and enabling actions

Travel, Training, Financial management, Operational & logistic support, Subscriptions, Workshop facilitation, Monitoring RF, translations (website and documents), interpretation;
Financial situation of IATI
2021 - Year 8 Financial snapshot

- **Funds carried over** $3,653,225 2013 - 2020
- **Income** $2,807,790
  - $151,000 to be received
- **Interest earned** $12,045
- **Expenditures** $2,281,846
- **Committed** $767,123
- **Anticipated cash** $3,575,091 by 31 December 2021 (incl. reserve)
### Financial Overview

<table>
<thead>
<tr>
<th></th>
<th>Annual 2020</th>
<th>2021 up to 31/10</th>
<th>Cumulative (2013-2021)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Income</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Opening balance</td>
<td>$3,075,777</td>
<td>$3,653,225</td>
<td>$15,723,531</td>
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<tr>
<td>Membership contributions</td>
<td>$2,430,337</td>
<td>$2,643,958</td>
<td>$3,148,743</td>
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<tr>
<td>Voluntary contributions</td>
<td>$236,519</td>
<td>$163,832</td>
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<tr>
<td>Interest</td>
<td>$35,191</td>
<td>$12,045</td>
<td>$200,136</td>
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<tr>
<td>Residual funds</td>
<td>-</td>
<td>-</td>
<td>$60,111</td>
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<tr>
<td><strong>Total:</strong></td>
<td>$5,777,824</td>
<td>$6,473,060</td>
<td>$19,132,521</td>
</tr>
<tr>
<td>Advance Y9 payments received</td>
<td>-</td>
<td>-</td>
<td>-$170,000¹</td>
</tr>
<tr>
<td><strong>Total income:</strong></td>
<td>$5,777,824</td>
<td>$6,473,060</td>
<td>$18,962,521</td>
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<tr>
<td><strong>Expenses</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Project Expenses</td>
<td>$2,022,784</td>
<td>2,193,288</td>
<td>14,216,401</td>
</tr>
<tr>
<td>Management Fees</td>
<td>$101,815</td>
<td>88,558</td>
<td>554,906</td>
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<tr>
<td><strong>Total</strong></td>
<td>$2,124,599</td>
<td>$2,281,846</td>
<td>14,771,307</td>
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<tr>
<td><strong>Income minus Expenses</strong></td>
<td>$3,653,225</td>
<td>$4,191,214</td>
<td>$4,191,214</td>
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<tr>
<td>Commitments</td>
<td>$0</td>
<td>$767,123</td>
<td>$767,123</td>
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<tr>
<td><strong>Fund Surplus including the reserve fund</strong></td>
<td>$3,653,225</td>
<td>$3,424,091</td>
<td>$3,424,091</td>
</tr>
<tr>
<td>Funds to be received Y8 membership contributions²</td>
<td>-</td>
<td>$151,000</td>
<td>$151,000</td>
</tr>
<tr>
<td><strong>Estimated Fund Surplus (including Contingency Reserve)</strong></td>
<td>$3,653,225</td>
<td>$3,575,091</td>
<td>$3,575,091</td>
</tr>
</tbody>
</table>
IATI Original budget - Revised budget - Expenditure

- $0
- $500,000
- $1,000,000
- $1,500,000
- $2,000,000
- $2,500,000
- $3,000,000
- $3,500,000
- $4,000,000
- $4,500,000

IATI Y1 | IATI Y2 | IATI Y3 | IATI Y4 | IATI Y5 | IATI Y6 | IATI Y7 | IATI Y8

- Original budget
- Revised Budget
- Expenditures
## Total expenditure and commitment per workstream

<table>
<thead>
<tr>
<th>Workstream</th>
<th>2020 Expenditures</th>
<th>2021 Expenditures up to 31/10</th>
<th>2021 Commitments 31/12</th>
</tr>
</thead>
<tbody>
<tr>
<td>Workstream 1. Partner country support</td>
<td>$69,680</td>
<td>$128,553</td>
<td>$46,985</td>
</tr>
<tr>
<td>Workstream 2. Improve the Quality of IATI Data</td>
<td>$171,845</td>
<td>$163,330</td>
<td>$31,378</td>
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<tr>
<td>Workstream 3. Promote Systematic Data Use</td>
<td>$205,064</td>
<td>$245,259</td>
<td>$72,725</td>
</tr>
<tr>
<td>Workstream 4. Consolidate Technical Core</td>
<td>$542,373</td>
<td>$612,619</td>
<td>$201,637</td>
</tr>
<tr>
<td>Workstream 5. Strengthen the IATI Community</td>
<td>$354,545</td>
<td>$346,259</td>
<td>$73,581</td>
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<tr>
<td>Workstream 6. Communications</td>
<td>$287,390</td>
<td>$260,825</td>
<td>$95,310</td>
</tr>
<tr>
<td>Workstream 7. Outreach and Engagement</td>
<td>$98,114</td>
<td>$138,507</td>
<td>$50,773</td>
</tr>
<tr>
<td>Workstream 8. Institutional Arrangements</td>
<td>$293,773</td>
<td>$297,938</td>
<td>$120,594</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$2,022,784</strong></td>
<td><strong>$2,193,288</strong></td>
<td><strong>$692,982</strong></td>
</tr>
<tr>
<td><strong>Project Expenses</strong></td>
<td></td>
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<td></td>
</tr>
<tr>
<td><strong>Management Fees</strong></td>
<td><strong>$101,815</strong></td>
<td><strong>$88,558</strong></td>
<td><strong>$74,141</strong></td>
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<tr>
<td><strong>Total</strong></td>
<td><strong>$2,124,599</strong></td>
<td><strong>$2,281,847</strong></td>
<td><strong>$767,123</strong></td>
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<tr>
<td><strong>Grand total</strong></td>
<td><strong>$2,124,599</strong></td>
<td></td>
<td><strong>$3,048,970</strong></td>
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</tbody>
</table>
2022 Budget as approved by Governing Board

2022 Activities $1,688,200
2022 Personnel $2,458,921
2022 Management fees $263,793

2022 Total Budget (net of in-kind) $4,410,914
Contingency reserve (CR) $1,000,000
Total annual budget with CR $5,410,915

<table>
<thead>
<tr>
<th></th>
<th>2022</th>
<th>2021</th>
</tr>
</thead>
<tbody>
<tr>
<td>Personnel</td>
<td>56%</td>
<td>62%</td>
</tr>
<tr>
<td>Activities</td>
<td>38%</td>
<td>33%</td>
</tr>
<tr>
<td>Management fees</td>
<td>6%</td>
<td>5%</td>
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</tbody>
</table>
Comparison with 2022 budget & 2021 revised budget
## Total budget by workstream 2020/22

<table>
<thead>
<tr>
<th>Workstream</th>
<th>2020 (Revised Budget)</th>
<th>2021 (Revised Budget)</th>
<th>2022 (Approved Budget)</th>
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<tbody>
<tr>
<td>Workstream 1 and 3</td>
<td>$502,300</td>
<td>$494,911</td>
<td>$510,528</td>
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<tr>
<td>Workstream 2</td>
<td>$194,524</td>
<td>$207,071</td>
<td>$285,221</td>
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<tr>
<td>Workstream 4</td>
<td>$844,568</td>
<td>$902,055</td>
<td>$882,823</td>
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<tr>
<td>Workstream 5</td>
<td>$412,667</td>
<td>$436,283</td>
<td>$370,204</td>
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<td>Workstream 6</td>
<td>$331,049</td>
<td>$390,111</td>
<td>$409,375</td>
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<td>Workstream 7</td>
<td>$136,044</td>
<td>$182,096</td>
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<td>Workstream 8</td>
<td>$402,769</td>
<td>$424,053</td>
<td>$617,842</td>
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<tr>
<td>Workstream 9</td>
<td>-</td>
<td>-</td>
<td>$639,867</td>
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<tr>
<td><strong>Total budget for workstreams</strong></td>
<td>$2,823,920</td>
<td>$3,036,581</td>
<td>$4,147,121</td>
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<tr>
<td>Management Fees</td>
<td>$148,401</td>
<td>$167,244</td>
<td>$263,793</td>
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<tr>
<td><strong>Total</strong></td>
<td>$2,972,321</td>
<td>$3,203,825</td>
<td>$4,410,915</td>
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</table>
2022 Activity and Personnel budget

- Activity budget
- Personnel budget

Bar chart showing the budget for different categories for 2022.
Comparison of Personnel budget of 2020, 2021 & 2022

Personnel budget comparison 2020-2021-2022 per workstream
Session 7

Closing of 2021 Members’ Assembly

Theo van de Sande
IATI Governing Board Chair
9 December 2021