



Meeting of IATI Steering Committee Members and Observers – 1-2 June 2015
The Shaw Centre, 55 Colonel By Drive, Ottawa, Ontario

Paper 6.C: Report on income in Year 1 & 2 and implementation in Year 2 (September 2014 – August 2015)

Financial overview of Years 1 & 2 (FY13/15)^{1 2}

INCOME

1. Total income received by April 2015	\$ 3,480,749
a. Y1 Membership fees; voluntary contributions; interest earned	\$ 1,830,622
b. Y2 Membership fees; voluntary contributions; and interest earned up to April 2015 (52% of the total approved budget for Y2)	\$ 1,451,823
c. Advance payment of Y3 membership fees for FY15/16	\$ 198,304
d. Receivables (Y2 Membership Fees) ³	\$ 87,600

EXPENDITURE & COMMITMENT

2. Expenditure and Commitment to date	\$ 3,368,897
a. Project expenditure as at April 30 th , 2015 (41% of budget Y1 & Y2)	\$ 2,255,239
b. Project Advances and open POs	\$ 125,424
c. Commitments for Y2 activities (2014/2015)	\$ 988,234

PROJECT BALANCE (Anticipated - August 2015)

3. Anticipated Balance (1-2)	\$ 111,852
3a Advance Y3 payments received (1.c)	\$ -198,304
3b Receivables (1.d)	\$ 87,600
Cash position as at 31 August 2015	\$ 1,148

¹ The expenditures are based on the Interim Financial Statement (IFS) for the period up to April 30th, 2015. Final figures will appear in the certified financial statement once the accounts for the financial period are actually closed

² Figures are shown here for IATI FY 1 & 2 since official financial statements are produced per calendar year and are therefore not aligned with IATI's Sep-Aug financial year.

³ Details presented in Paper 6.E - IATI_Year 2 Income Statement

Funds received late in the financial year have impacted the ability of the Secretariat to deliver fully on the work plan, and it has had to postpone a number of activities where these were dependent on additional recruitments.

Implementation so far in Year 2

Activities in the Year 2 work plan have been completed or are ongoing where they relate to regular technical support. Highlights are shown below:

In **Output 1**. The core IATI data infrastructure has been maintained and developed. The anticipated move of the IATI Registry from its current CKAN OKF platform and corresponding upgrade is expected to take place during the fourth quarter of Year 2 (1.A.1); the Technical team has continued to increase usability of the Datastore so that more publishers can run their own apps from it (1.A.2); the move of the IATI technical infrastructure to managed servers depends upon technical staff capacity and this activity has been deferred to Year 3 (1.A.3). The upgrade to the Standard was agreed in October 2014 and version 2.01 went live in January 2015 (1.B.1). The standard remains aligned with relevant OECD-DAC processes (1.B.2). Tools and products are continuously being improved to reflect the upgrade to version 2.01 and a series of online videos has been produced to support organisations using AidStream to publish. Work is ongoing to further develop AidStream (1.C.1) including incorporating CSV upload capability (1.C.2).

In **Output 2** the technical team is targeting specific publishers with additional technical support to improve data quality, with the result that several publishers are now planning improvements this year to meet their Busan commitments by the end of 2015. The number of publishers has now reached 340, with notable new publishers including Belgium, the European Bank for Reconstruction and Development (EBRD), the European Investment Bank (EIB), France and the UK Ministry of Defence (the tenth UK government department to publish). The technical team also reached agreement with a group of development finance institutions on best practice for them to publish to IATI, given their differing business models to traditional aid actors. Nightly statistics on data quality are now published on the IATI Dashboard (2.A.1) supporting work on improving data quality (2.A.2). Support for the on the CRS/IATI pilot by Sweden, the UK and the Netherlands has begun but technical support for this pilot has been placed on hold until staffing levels permit (2.B.1). Work on refining the transparency indicator methodology is led by the GPEDC Joint Support Team and the Secretariat will continue to keep members apprised of progress and decision points in this area ((2.B.2). Final work on the IATI Dashboard will include a measure of comprehensiveness, which will support the light touch assessment foreseen as one of the Voluntary Initiatives within the Mexico Communiqué (2.B.3). Technical support has been continuously provided to new and current members (2.C.1, 2.C.2), with support visits to Vienna and Abu Dhabi resulting in planned publication by the OPEC Fund for International Development (OFID) and other members of the Arab Donor Coordination Group following

the established outreach strategy, and to Brussels, to support the four EC directorates general with their publication (2.C.3).

In **Output 3** on increasing data use, completed activities include a Regional Workshop on Use of Data at Country Level co-hosted in Accra (March 2015) by the Government of Ghana together with IATI and UNDP, with some 85 participants predominantly from within the African region (3.A.3). With representation also from AIMS providers and some donors, the workshop achieved its outcomes of increasing awareness of IATI generally and sharing knowledge on increasing access and use of the wealth of data on development resources now available. In working towards normalizing processes of automatic data exchange, the Secretariat has remained in close contact with AIMS providers (3.A.1) in their development of knowledge products and liaison with partner countries; a manual for AIMS users was produced by the Secretariat based on lessons learned from automatic data exchange pilot work (3.A.2), which in part supports the provision of policy advice to governments on integration of AIMS with public financial management systems (3.A.6). The technical team continues to work with humanitarian actors to the extent possible within its staffing constraints but little progress has been made in this area (3.B.1). Whilst initial contacts have been made exploring the progress made by the LAC region on definitions of SSC, IATI has faced difficulty taking the political conversation any further (3.B.2); activities in relation to incorporating SSC and technical cooperation into IATI have therefore been deferred to Y3 when resources are available to support these activities (3.B.3).

In **Output 4** at the institutional level, the Secretariat has planned and organized two Steering Committee meetings (October 2014, June 2015) (4.A.1) and a TAG meeting (June 2015) (4.C.1), and Sweden's MOFA and Sida have generously hosted one face-to-face strategy meeting of the Secretariat in Stockholm (4.E.1). Quarterly and ad hoc meetings of the Sub-group on Budget and Finance and the Evaluation Working Group have taken place (4.A.2), with monthly review/coordination meetings taking place with the chairs of Steering Committee, TAG and Budget and Finance Sub-Group. Agendas and papers for both sets of meetings have been prepared and shared for consultation, with minutes also published online (4.B.2, 4.B.3) as well as other TAG e-communications and consultations (4.C.2, 4.C.3). Planned consultations with members ahead of meetings have taken place in relation to the agendas for both meetings but the Secretariat has struggled with delays in the process of developing SC papers with both events back to back for the first time (4.B.1). No amendments have been made to the Standard Operating Procedures during this period (4.B.5). Membership fees and voluntary contributions have been collected (4.D.1) though work has not been concluded on the development and implementation of a fundraising strategy (4.D.2) and is planned for the fourth quarter of Year 2. In support of the process of evaluation, a survey was developed and conducted in March by the Secretariat (4.E.2) and work on the evaluation continues through to the fourth quarter of Year 2; a consultant has been recruited to lead a fuller evaluation of IATI's activities with results due later in 2015.

In **Output 5**, IATI's strategy of strategic engagement has been focused around the provision of support to its members involved with FFD negotiations as one of the key events on the global calendar for 2015 and the preparation and submission of a proposal for an IATI side event at the Addis conference in July (5.A.1). Secretariat members have provided briefings on transparency, the common standard and related topics directly in a broad range of forums and events upon request (5.B.1, 5.E.3) and prepared speaking points for SC members and senior speakers advocating for publishing and using IATI data. Communications materials on IATI are now available in Russian, French, Spanish and Arabic as well as English on the website (5.C.1, 5.C.3). Membership has increased to include Nigeria and Catalpa International (a new AIMS provider), with formal process underway to welcome Somalia, Burundi and Guinea (5.B.2). Other communications activities including the development of the 2015 Annual Report (5.C.6), merging websites and online tools into a single web presence (5.D.2), website redesign scoping exercise (5.D.1) have been deferred pending availability of both staffing and funding for this work, with the Annual Report to be prepared in the fourth quarter of Y2 and other activities expected to carry over into Year 3.

Overview of implementation Y2

The technical team is smaller now than it was at the beginning of the year despite continually increasing demand for support, and similarly the communications and outreach work has been affected by staffing constraints across the Secretariat. These constraints have had very real consequences on the ability of the Secretariat to meet all of its targets efficiently. Currently concluding the third quarter, there is much work to be done in Q4 and the Secretariat will continue to work on implementing the key elements of the remaining work plan for Year 2 and rephase others that cannot be concluded.